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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

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Signature

Attori	ney Docket No.	
First	Inventor	
Title		
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TO (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicat Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) aferred arrangement set forth below) Specification Computer Readable Form (CRF) 3. 🔽 - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i.  $\square$ paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description 9. - Claim(s) Power of 37 CFR 3.73(b) Statement 10. - Abstract of the Disclosure Attorney (when there is an assignee) English Translation Document (if applicable) 11. [ Total Sheets 4. Drawing(s) (35 U.S.C. 113) Copies of IDS Information Disclosure 12. Citations 5. Oath or Declaration [ Total Pages Statement (IDS)/PTO-1449 **Preliminary Amendment** Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d)) 13. Return Receipt Postcard (MPEP 503) b. (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: copy - original filing receipt 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 60 , 253,210 Continuation-in-part (CIP) ✓ Continuation Divisional of prior application No.: Examiner: Hartling, Katrina Prior application information: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS V Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bancode label here) Randall Lichner Name Post Office Box 3723 Address State Zip Code 90266 City Manhattan Beach (310) 546-3172 (310) 546-3172 Fax Country US Telephone Registration No. (Attorney/Agent) Randall Lichner Name (Print/Type) 11/20/2001

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

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Complete if Known		
Application Number		
Filing Date		
First Named Inventor		
Examiner Name		
Group Art Unit		
Attorney Docket No.		

METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:  Deposit	Large Small			
Account Number	Entity Entity Fee	Fee Paid		
Deposit	Code (\$) Code (\$)			
Account Name	105 130 205 65 Surcharge - late filing fee or oath	0.00		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	0.00		
Applicant claims small entity status.	139 130 139 130 Non-English specification	0.00		
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination	0.00		
2. Payment Enclosed:  Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action	0.00		
FEE CALCULATION	113 1,840° 113 1,840* Requesting publication of SIR after Examiner action	0.00		
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	0.00		
Large Entity Small Entity	116 400 216 200 Extension for reply within second month	0.00		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month	0.00		
101 740 201 370 Utility filing fee 370,00	118 1,440 218 720 Extension for reply within fourth month	0.00		
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month	0.00		
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	0.00		
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal	0.00		
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing	0.00		
SUBTOTAL (1) (\$) 370.00	138 1,510 138 1,510 Petition to institute a public use proceeding	0.00		
2. EXTRA CLAIM FEES	140 110 240 55 Petition to revive - unavoidable	0.00		
Fee from	141 1,280 241 640 Petition to revive - unintentional 142 1,280 242 640 Utility issue fee (or reissue)	0.00		
Extra Claims below Fee Paid  Total Claims 6 -20** = 0 x 0.00 = 0.00	142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee	0.00		
Independent 2 3** = 0 x 0.00 -[0.00	144 620 244 310 Plant issue fee	0.00		
Claims 0.00 = 0.00	122 130 122 130 Petitions to the Commissioner	0.00		
	123 50 123 50 Processing fee under 37 CFR 1.17(q)	0.00		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	0.00		
Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	0.00		
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00		
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	0.00		
over original patent  110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	0.00		
and over original patent	169 900 169 900 Request for expedited examination	0.00		
SUBTOTAL (2) (\$) 0.00	of a design application  Other fee (specify)  0.00			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	.00		

SUBMITTED BY			Complete (if applicable)	
Name (Print/Type)	Randall Lichner	Registration No. (Attorney/Agent)	Telephone	(310) 546-3172
Signature	Kan RD		Date	11/20/2001

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